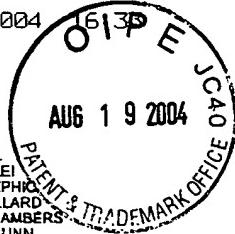


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PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

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August 19, 2004

FACSIMILE COVER SHEET

To: Examiner Cao H. Nguyen
Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

Fax: 703-746-4000

Enclosures:

Fax Cover Sheet containing Certificate of Facsimile Transmission (1 page)
Response Under 37 C.F.R. 1.312 containing Certificate of Facsimile Transmission (1 page)
Copy of 1449 Forms filed on November 19, 2001 (3 pages)
Copy of Return Postcard with the USPTO early notification label indicating receipt by the USPTO of the 11/19/2001 Express Mail No. EL915035147US (1 page)

From: Scott A. Stinebruner
Re: U.S. Patent Application
Serial No. 09/998,168
Filed: November 19, 2001
Allowance Date: August 16, 2004
Applicant: Cary Lee Bates et al.
Art Unit: 2173
Confirmation No.: 7679
Our Ref: IBM/05D

Pages: 6 (including cover sheet)

MESSAGE/COMMENTS OFFICIAL

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (6 total pages) are being transmitted via facsimile transmission to Examiner Cao H. Nguyen, Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 at 703-746-4000 on August 19, 2004.

Judith L. Volk
Judith L. Volk

August 19, 2004
Date

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IBM/05D
Confirmation No. 7679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cary Lee Bates et al. Art Unit: 2173
Serial No.: 09/998,168 Examiner: Cao H. Nguyen
Filed: November 19, 2001 Atty. Docket No.: IBM/05D
Allowed: August 16, 2004
For: MULTI-NODE USER INTERFACE COMPONENT AND METHOD THEREOF FOR
USE IN PERFORMING A COMMON OPERATION ON LINKED RECORDS

RESPONSE UNDER 37 C.F.R. 1.312

Mail Stop ISSUE FEE
Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22213-1450

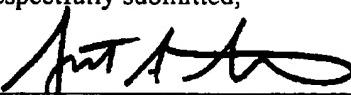
Sir:

This paper is for the purpose of requesting that the Examiner consider the references cited in the Information Disclosure Statement filed on November 19, 2001 concurrently with the above-identified patent application under Express Mail No. EL915035147US. Applicants have enclosed herewith clean copies of the 1449 Forms (3 pages), and respectfully request that the Examiner review the cited references and return initialed copies of the 1449 Forms to Applicants prior to issuance of the application. A copy of the return postcard with the USPTO early notification label indicating receipt by the United States Patent and Trademark Office (1 page), is also enclosed.

If the Examiner has any questions regarding this foregoing, the Examiner may contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

19 Aug 2004
Date


Scott A. Stinebrunner
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CERTIFICATE OF FACSIMILE TRANSMISSION
I hereby certify that this correspondence and the enclosures noted herein (6 total pages, including cover sheet) are being transmitted via facsimile transmission to Examiner Cao H. Nguyen, Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 at 703-746-4000 on August 19, 2004.

Judith L. Volk August 19, 2004
Judith L. Volk Date

Page 1 of 1
Serial No. 09/998,168
Response Under C.F.R. 1.312 of 08/19/2004
Re: Notice of Allowance of 08/16/2004
IBM Docket R0997069LD
WH&E IBM/05D
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Express Mail No. EL915035147US

Sheet 1 (A) of 3

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. IBM/05D (R0997069LD)	SERIAL NO. FILED HEREWITH
AUG 19 2004 PATENT & TRADEMARK OFFICE (37 CFR 1.105(b))		INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Cary Lee Bates et al.	
				FILING DATE November 19, 2001	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	5	5	2	6	4	7	8	6/11/96	Russell, Jr. et al.	395	154	6/30/94
	A.B	5	5	5	9	9	4	2	9/24/96	Gough et al.	395	155	5/10/93
	A.C	5	6	4	4	7	4	0	7/1/97	Kiuchi	395	357	11/30/93
	A.D	5	7	2	6	6	8	7	3/10/98	Belfiore et al.	345	341	11/13/96
	A.E	5	7	3	9	8	2	1	4/14/98	Ho et al.	345	340	1/30/97
	A.F	5	7	4	5	7	3	7	4/28/98	Kayes et al.	395	500	3/28/96
	A.G	5	7	5	4	1	6	1	5/19/98	Noguchi et al.	345	123	7/14/95
	A.H	5	8	3	5	0	9	4	11/10/98	Ermel et al.	345	355	5/7/97
	A.I	5	8	7	4	9	6	1	2/23/99	Bates et al.	345	341	3/19/97
	A.J	5	8	7	7	7	6	6	3/2/99	Bates et al.	345	854	8/15/97
	A.K	4	8	7	8	2	2	3	3/2/99	Becker et al.	395	200.53	5/7/97

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

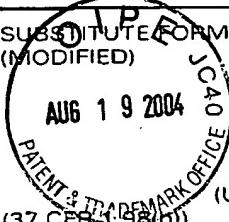
A.R	Gartner, "Microsoft IE 4.0 Meets the Press", TechWire Website, 6/24/97.
A.S	"Anawave WebSnake Tutorial - Home", http://www.websnake.com , downloaded 5/21/97.
A.T	"Anawave WebSnake Tutorial - Mirroring", http://www.websnake.com/snakemirroring.html , downloaded 5/21/97.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

Sheet 2 (B) of 3

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. IBM/05D (R0997069LD)	SERIAL NO. FILED HEREWITH
 AUG 19 2004 (37 CFR 1.98(b))		INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Cary Lee Bates et al.	
				FILING DATE November 19, 2001	GROUP Unknown

U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER			ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
	B.A	5	9	7	7	9	7	2	11/2/99	Bates et al.	345	341	8/15/97
	B.B	6	0	7	2	4	9	0	6/6/00	Bates et al.	345	809	1/8/99
	B.C	6	1	8	8	4	0	5	2/13/01	Czerwinski et al.	345	339	9/14/98
	B.D												
	B.E												
	B.F												
	B.G												
	B.H												
	B.I												
	B.J												
	B.K												
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER	PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)				
	B.L												
	B.M												
	B.N												
	B.O												
	B.P												
	B.Q												
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
	B.R	"Windows 95.com Offline Browser Tools", http://www.windows95.com/apps/offline.html , downloaded 5/21/97.											
	B.S	"SiteHog - The Web Site Validation Tool", http://www.compulink.co.uk/~allied-display/redhog/sitehog.html , downloaded 5/21/97.											
	B.T	"What is WebWilly", http://www.webwilly.com/power/game2.shtml , downloaded 5/21/97.											
EXAMINER					DATE CONSIDERED								

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

Sheet 3 (C) of 3

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. IBM/05D (R0997069LD)	SERIAL NO. FILED HEREWITH
AUG 19 2004 JCAO PTO/TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Cary Lee Bates et al.		
		FILING DATE November 19, 2001	GROUP Unknown	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	C.A						
	C.B						
	C.C						
	C.D						
	C.E						
	C.F						
	C.G						
	C.H						
	C.I						
	C.J						
	C.K						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	C.L						
	C.M						
	C.N						
	C.O						
	C.P						
	C.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	C.R	"Microsoft® FrontPage 97 with Bonus Pack", http://www.microsoft.com/frontpage/brochure/features.htm , downloaded 5/21/97.
	C.S	Cowart, Robert, <u>Mastering Windows™ 3.1</u> , Special Edition, Sybeck, (1993) pp. 105-140.
	C.T	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)



Express Mail No.: EL915035147US
Date: November 19, 2001

Atty. Docket: IBM/OSD
R0997069LD

Applicant: Cary Lee Bates et al.

Title: MULTI-NODE USER INTERFACE COMPONENT AND METHOD THEREOF FOR USE IN
PERFORMING A COMMON OPERATION ON LINKED RECORDS

The stamp of the Patent Office hereon, may be taken as acknowledging the receipt, on the date stamped, of the following:

- Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- Return Postcard.
- Utility Patent Application, with: cover sheet, 75 page(s) specification (including 24 total claim(s), of which 3 is(are) independent), and 1 page(s) abstract.
- Drawings: 23 sheet(s) of formal drawings (50 total figure(s)).
- Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- Information Disclosure Statement, 3 sheet(s) Form PTO-1449, 1 U.S. Patent Reference.
- A Check of \$812.00 for the filing fee.

jc760 U. S. PTO
09/998168

11/19/01

Wood, Herron & Evans, L.L.P.

SAS/jlv